

SHIVAM AUTOTECH LIMITED

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MANDATORY DEMATERIALIZATION OF PHYSICAL SECURITIES

Reference:

1. Regulation 40 of SEBI (Listing obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018 dated 8th June, 2018
2. BSE circular to listed companies vide circular no. LIST/COMP/15/2018-19 dated 5th July, 2018
3. NSE circular to listed companies vide Ref.no. NSE/CML/2018/26 dated 9th July, 2018

SEBI has inserted a new proviso in Regulation 40(1) of The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

According to the newly inserted proviso,

“.....except in case of transmission or transposition of securities, requests for effecting transfer of securities shall not be processed unless the securities are held in the dematerialized form with a depository.”

Thus, with effect from 5th December, 2018 no transfer of securities held in physical form, per se, would be possible. Transfer of securities shall not be processed by the RTA/Company unless the securities are held in the dematerialized form. Accordingly, the Members holding shares in physical form are requested to convert their shares into dematerialization form on or before 5th December, 2018.

The Equity shares of the Company are traded compulsorily in dematerialized form and Company's ISIN No. is 'INE637H01024'. To facilitate shareholders of the Company to trade in the Company's equity shares, the Company has admitted its equity shares with both the Depositories, namely, (a) National Securities Depository Limited (NSDL) and (b) Central Depository Services (India) Limited (CDSL).

For dematerialization of shares, the shareholders are required to open a Demat Account with any Depository Participant (DP) of their choice and thereafter, surrender the Share Certificate(s) with Demat Request Form (DRF) with the said DP. The list of DPs of NSDL and CDSL are available on their website: www.nsdl.co.in and www.cdslindia.com respectively.