

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Shivam Autotech Limited**  
 2. Quarter ending - **30-Sep-2021**

**i. Composition Of Board Of Director**

Tit le (M r./ M s)	Name of the Director	DIN	PAN	Cate gory (Chai rpers on /Execu tive/No n- Execut ive/ Indepe ndent/ Nomin ee)	Sub Cate gory	Initi al Dat e of Appoi ntme nt	Date of Appoi ntme nt	D at e of ce ss ati on	Tenu re	Date of Birth	Whe ther spe cial resol ution pass ed?	Date of passi ng spe cial resol ution	No. of Direc torshi p in listed entiti es inclu ding this listed entity	No of Inde pend ent Direc torshi p in listed entiti es inclu ding this listed entity	No of membe rships in Audit/ Stakeh older Commitee(s) includi ng this listed entity	No of post of Chairpe rson in Audit/ Stakeh older Commit tee held in listed entiti es includi ng this listed entity	Me mbe rshi p in Com mittee s of the Co mpa ny
Mr.	BHAGWAN DASS NARANG	00826573	AAEPN3092R	ID,C & NED		14- Feb- 2006	25- Sep- 2019		60	12- Apr- 1945	Yes	25- Sep- 2019	2	2	5	2	AC,SC ,NRC
Mr.	NEERAJ MUNJAL	00037792	ABMPM8680 R	ED	CEO- MD	29- Jul- 2005	01- Apr- 2021		60	01-Jul- 1967	NA		1	0	1	0	SC
Mrs.	CHARU MUNJAL	03094545	ADVPM3847 K	ED		28- May- 2013	01- Jun- 2018		60	04- Jun- 1972	NA		2	0	0	0	
Mr.	ANIL KUMAR GUPTA	02643623	AADPG8969 M	NED		09- Aug- 2019	09- Aug- 2019		60	02- Apr- 1951	No		2	1	2	0	AC,SC ,NRC

Mrs.	JYOTHI PRASAD	06947488	AAEPP6028P	ID		13-Nov-2019	13-Nov-2019		60	29-Nov-1963	No		2	2	5	3	AC,SC ,NRC
Mr.	SUNIL CHINUBHAI VAKIL	02527630	AAVPV1337 Q	ID		21-Dec-2020	21-Dec-2020		48	06-May-1956	No		1	1	2	1	AC,SC ,NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	JYOTHI PRASAD	ID	Chairperson	13-Nov-2019	
2	ANIL KUMAR GUPTA	NED	Member	09-Aug-2019	
3	BHAGWAN DASS NARANG	ID,C & NED	Member	03-Aug-2006	
4	SUNIL CHINUBHAI VAKIL	ID	Member	12-Feb-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUNIL CHINUBHAI VAKIL	ID	Chairperson	12-Feb-2021	
2	JYOTHI PRASAD	ID	Member	13-Nov-2019	

3	BHAGWAN DASS NARANG	ID,C & NED	Member	02-Aug-2006	
4	ANIL KUMAR GUPTA	NED	Member	09-Aug-2019	
5	NEERAJ MUNJAL	ED	Member	02-Aug-2006	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
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Company Remarks	
Whether Permanent chairperson appointed	

**d. Nomination and Remuneration Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	JYOTHI PRASAD	ID	Chairperson	09-Nov-2020	
2	BHAGWAN DASS NARANG	ID,C & NED	Member	02-Aug-2006	
3	ANIL KUMAR GUPTA	NED	Member	09-Aug-2019	
4	SUNIL CHINUBHAI VAKIL	ID	Member	12-Feb-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

<b>Date(s) of Meeting (if any) in the previous quarter</b>	<b>Date(s) of Meeting (if any) in the relevant quarter</b>	<b>Whether requirement of Quorum met</b>	<b>Number of Directors present</b>	<b>Number of Independent Directors present</b>
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09-Jun-2021	13-Aug-2021	Yes	3	3
	22-Sep-2021	Yes	3	3

<b>Company Remarks</b>	
Maximum gap between any two consecutive (in number of days)	64

iv. **Meeting of Committees**

<b>Name of the Committee</b>	<b>Date(s) of meeting during of the committee in the previous quarter</b>	<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Whether requirement of Quorum met (Yes/No)</b>	<b>Number of Directors present</b>	<b>Number of independent directors present</b>
Audit Committee	09-Jun-2021		Yes	1	3
Audit Committee		13-Aug-2021	Yes	1	3
Audit Committee		22-Sep-2021	Yes	1	3
Nomination & Remuneration Committee	09-Jun-2021		Yes	1	3
Stakeholders Relationship Committee	09-Jun-2021		Yes	2	3
Stakeholders Relationship Committee		13-Aug-2021	Yes	2	3

<b>Company Remarks</b>	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	64

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
  - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **PREETI SHARMA**  
**Designation** : **Compliance Officer**

**ANNEXURE III****Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

The Company stands at no. 1168 based on market capitalisation as on March 31, 2021 issued by The National Stock Exchange of India Limited. Accordingly, a BR Report describing the initiatives taken by the Company from an environmental, social and governance perspective, does not forms part of this Report.

**Name** : **PREETI SHARMA**  
**Designation** : **Compliance Officer**

**ANNEXURE IV**

%symbol%	%company Name%	%quarter Ended%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity</i>	0	0	0

<i>controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0

<i>KMPs or any other entity controlled by them</i>	0	0	0
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**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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<b>Affirmations</b>	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
<b>Company Remarks in case of non-compliant status</b>	

<p><b>Name:</b> NEERAJ MUNJAL  <b>Designation:</b> Chief Executive Officer  <b>Place:</b> GURUGRAM  <b>Date:</b> 12-Oct-2021</p>
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