

Form No. MGT-9

Extract of Annual Return as on the financial year ended on March 31, 2020

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12 (1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i)	CIN:-	L34300HR2005PLC081531
ii)	Registration Date:	29-Jul-2005
iii)	Name of the Company:	Shivam Autotech Limited
iv)	Category/Sub-Category of the Company:	Public Company Limited by Shares/Indian Non –Govt. Company
v)	Address of the Registered Office and Contact Details:	10, 1 st Floor, Emaar Digital Greens, Tower A, Sector 61, Golf Course Extension Road, Gurugram, Haryana-122102 Tel: 0124-4698700 Fax: 0124-4698798 Email: info@shivamautotech.com
vi)	Whether listed Company:	Yes
vii)	Name, Address and contact details of Registrar and Transfer Agents, if any:	MCS Share Transfer Agent Limited F-65, 1 st Floor, Phase-I, Okhla Industrial Area, New Delhi-110 020 Tel: 011-41406149-52 Fax No: 011-41709881 E-mail: admin@mcsregistrars.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

Sl. No	Name and description of main products/ services	NIC Code of the Product/Service	% to total turnover of the Company
1.	Manufacture of bearings, gears, gearing and driving elements.	28140	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	Dayanand Munjal Investments Private Limited. G.T. Road, Hero Nagar, Ludhiana	U67120PB1979PTC004038	Holding	74.8%	2(46)

**IV. SHARE HOLDING PATTERN
(Equity Share Capital Break Up as Percentage of Total Equity)**

i) Category –wise Share Holding

Category of Shareholders	No of Shares held at the beginning of the year As on April 1, 2019				No of shares held at the end of the year As on March 31, 2020				% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	Total	% of total shares	
A. Promoters									
(1) Indian									
(a) Individual/HUF									
(b) Central Govt									
(c) State Govt (s)									
(d) Bodies Corp.	74795950	0	74795950	74.80%	74795950	0	74795950	74.80%	0.00%
(e) Banks/FI									
(f) Any Other									
Sub Total (A) (1):-	74795950	0	74795950	74.80%	74795950	0	74795950	74.80%	0.00%
(2) Foreign									
(a) NRIs-Individuals									
(b) Other-Individuals									
(c) Bodies Corp.									
(d) Banks/FI									
(e) Any Other									
Sub Total (A) (2):-	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A) = (A) (1) + (A) (2)	74795950	0	74795950	74.80%	74795950	0	74795950	74.80%	0.00%
B. Public Shareholding									
1. Institutions									
(a) Mutual Funds	41188	1000	42188	0.04%	20244	0	20244	0.02%	(0.02)%
(b) Banks/FI	53739	3000	56739	0.06%	0	3000	3000	0.00%	(0.06)%
(c) Central Govt (s)									
(d) State Govt (s)									
(e) Venture Capital Funds									
(f) Insurance Companies									
(g) FII's									
(h) Foreign Venture Capital Funds									
(i) Others (Specify)	0	0	0	0.00%	0	0	0	0%	0.00%
• Foreign Portfolio Investors									
Sub total (B) (1):-	94927	4000	98927	0.10%	20244	3000	23244	0.02%	(0.08)%
2. Non Institutions									
a) Bodies Corp.	2276194	52000	2328194	2.33%	1721958	52000	1773958	1.77	(0.56)%
i) Indian									

ii) Overseas									
b) Individuals									
	16445400	1254482	17699882	17.7%	17889208	1279850	19169058	19.17	1.47%
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh									
ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakh	4022551	154618	4177169	4.18%	3213129	0	3213129	3.21	(0.97)%
(c) Others (Specify)									
• Cooperative Societies	6000	0	6000	0.01%	6000	0	6000	0.01%	0.00%
• Non Resident Individual	581828	85500	667328	0.67%	677211	85500	762711	0.77%	0.10%
• NBFC's registered with RBI	850	0	850	0.00%	0	0	0	0.00%	(0.00)%
• Trust	3000	0	3000	0.00%	1250	0	1250	0.00%	0.00%
• IEPF	222700	0	222700	0.22%	254700	0	254700	0.25%	0.03%
Sub total (B) (2) :-	23558523	1665983	25105123	25.11%	23763456	1417350	25180806	25.18%	0.06%
Total Public Shareholding (B)= (B) (1) + (B) (2)	23653450	1550600	25204050	25.20%	23783700	1420350	25204050	25.20%	-
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+ B+C)	98449400	1550600	100000000	100.00%	98579650	1420350	100000000	100.00%	

(ii) Shareholding of Promoters

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No of Shares	% of total shares of the Company	% shares pledged/encumbered to total shares	No of Shares	% of total shares of the Company	% shares pledged/encumbered to total shares	
I	Dayanand Munjal Investments Private Limited	74795950	74.80%	0	74795950	74.80%	0	0
	Total	74795950	74.80%	0	74795950	74.80%	0	0

(iii) Change in Promoters' Shareholding (Please Specify, if there is no change)

SL.No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No of Shares	% of total shares of the Company
	At the beginning of the year	74795950	74.80%	74795950	74.80%
	Date Wise Increase/ Decrease in Promoters shareholding during the year Specifying the reasons for Increase/Decrease (e.g. Allotment/transfer/bonus/sweat equity etc)	-	-	-	-
	At the end of the year	7,47,95,950	74.80%	7,47,95,950	74.80%

(iv) Shareholding pattern of top ten shareholders (Other than Directors, promoters and Holders of GDRs and ADRs):-

S. No	Folio No	Name	PAN	Shareholding		Date	Increase / Decrease in Shareholding	Reason	Cumulative Shareholding during the year (01-04-19 to 31-03-20)		Category
				No of Shares at the Beginning (01-04-19) /end of the Year (31-03-20)	% of total shares of the Company				Shares	% of total shares of the Company	
1	12037600 00210771	ANIL KUMAR GOEL	AAJPG2 552Q	1420000	1.42	01-04-2019					INDIAN PUBLIC
				1420000	1.42	31-03-2020	NIL	NIL			
2	12037600 00210765	SEEMA GOEL	AHAPG 8015A	500000	0.5	01-04-2019					INDIAN PUBLIC
				500000	0.5	31-03-2020	NIL	NIL			
3	IN302461 10029333	RAJAN VASUDEV DAPKI	AAWP D3172C	670003	0.67	01-04-2019					INDIAN PUBLIC
						05-04-2019			670003	0.67	
						12-04-2019	-3729	Sale	666274	0.67	
						19-04-2019	-1271	Sale	665003	0.67	
						26-04-2019	-10000	Sale	655003	0.66	
						10-05-2019	10500	Purchase	665503	0.67	
						17-05-2019	5000	Purchase	670503	0.67	
						24-05-2019	-750	Sale	669753	0.67	
						31-05-2019	-29750	Sale	640003	0.64	
						07-06-2019	-31543	Sale	608460	0.61	
						21-06-2019	115000	Sale	493460	0.49	
						05-07-2019	-750	Sale	492710	0.49	
						12-07-2019	130000	Purchase	622710	0.62	
						19-07-2019	750	Purchase	623460	0.62	
						26-07-2019	-1742	Sale	621718	0.62	
						02-08-2019	6742	Purchase	628460	0.63	

						16-08-2019	5000	Purchase	633460	0.63	
						06-09-2019	105000	Purchase	738460	0.74	
						13-09-2019	-30000	Sale	708460	0.71	
						27-09-2019	-10000	Sale	698460	0.7	
						11-10-2019	10000	Purchase	708460	0.71	
						25-10-2019	-10000	Sale	698460	0.7	
						08-11-2019	-20000	Sale	678460	0.68	
						15-11-2019	397600	Sale	280860	0.28	
						22-11-2019	-88860	Sale	192000	0.19	
						29-11-2019	-25000	Sale	167000	0.17	
						14-02-2020	-2250	Sale	164750	0.16	
						28-02-2020	25000	Purchase	189750	0.19	
						06-03-2020	35000	Purchase	224750	0.22	
						13-03-2020	50000	Purchase	274750	0.27	
						20-03-2020	25000	Purchase	299750	0.3	
				299750	0.3	31-03-2020					
4	IN300142 10033480	LINCOLN P COELHO	AEGPC 1227D	200000	0.2	01-04-2019					INDIAN PUBLIC
				200000	0.2	31-03-2020	NIL	NIL			
5	IN302105 10207504	ANITA RAWAT	ADJPR8 383D	150000	0.15	01-04-2019					INDIAN PUBLIC
				150000	0.15	31-03-2020	NIL	NIL			
6	IN304295 10861065	SURESH NAMDEV BANDEKAR	AAAPB 3117B	0	0	01-04-2019					INDIAN PUBLIC
						20-09-2019	144004	Purchase	144004	0.14	
						27-03-2020	1000	Purchase	145004	0.15	
				145004	0.15	31-03-2020					
7	IN300126 10656850	SUMAN LATA	AAXPS8 311D	109770	0.11	01-04-2019					INDIAN PUBLIC
						31-12-2019	32680	Purchase	142450	0.14	
				142450	0.14	31-03-2020					
8	IN302927 10341085	JM FINANCIAL SERVICES LIMITED	AAACJ 5977A	322887	0.32	01-04-2019					OTHER BODY CORPORATE
						18-10-2019	-6000	Sale	316887	0.32	
						15-11-2019	-80047	Sale	236840	0.24	
						07-02-2020	5000	Purchase	241840	0.24	
						06-03-2020	-34993	Sale	206847	0.21	
						13-03-2020	-65713	Sale	141134	0.14	
						27-03-2020	-3032	Sale	138102	0.14	
				138102	0.14	31-03-2020					
9	IN301549 31101479	A V DHARMAK RISHNAN	AFRPD 3556N	129280	0.13	01-04-2019					INDIAN PUBLIC
				129280	0.13	31-03-2020	NIL	NIL			

10	IN301696 12422599	SHARDA MAHESHW ARI	AIZPM9 914E	124000	0.12	01-04-2019				INDIAN PUBLIC
				124000	0.12	31-03-2020	NIL	NIL		

(v) *Shareholding of Directors and Key Managerial Personnel:*

Sl. No.	Name of the Directors and Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No of Shares	% of total shares of the Company	No of Shares	% of total shares of the Company
1.	Mr. Sunil Kant Munjal	Nil					
2.	Mr. Neeraj Munjal	Nil					
3.	Mrs. Charu Munjal	Nil					
4.	Mr. Bhagwan Dass Narang	Nil					
5.	Dr. Vinayshil Gautam ¹	Nil					
6.	Dr. Anil Kumar Gupta ²	Nil					
7.	Ms. Jyothi Prasad ³	Nil					
8.	Retd. Justice Vikramajit Sen ⁴	Nil					
9.	Mr. Davendra Ujlayan	As per below table					
10.	Ms. Shivani Kakkar	Nil					
11.	Mr. Dinesh Kumar Mishra ⁵	Nil					
12.	Ms. Samta Bajaj ⁶	Nil					

Name	Shareholding		Date	Reason	Increase / Decrease in Shareholding	Cumulative Shareholding during the year	
	No of Shares at the Beginning (01-04-19) /end of the Year (31-03-20)	% of total shares of the Company				Shares	% of total shares of the Company
Mr. Davendra Ujlayan	10	0.00	01/04/2019	-	-	-	0.00%
	10	0.00	31/03/2020	-	-	-	0.00%

¹ Cessation w.e.f. September 25, 2019

² Appointed as a Non-Executive Director w.e.f. August 09, 2019

³ Appointed as a Non-Executive and Independent Director w.e.f. November 13, 2019

⁴ Resigned w.e.f. November 11, 2019

⁵ Appointed as a Whole-time key managerial personnel of the company w.e.f. February 10, 2020

⁶ Appointed as a Company secretary w.e.f. February 10, 2020

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment (Rupees in Lacs)

	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	21884.79	4238.63		26123.42
ii) Interest due but not paid	-			-
iii) interest accrued but not due	186.57			186.57
Total (i+ii+iii)	22071.36	4238.63		26309.99
Change in Indebtedness during the financial year				
Addition	5317.62			5317.62
Reduction	(3980.15)	(2287.14)		(6267.29)
Net Change	1337.47	(2287.14)		(949.69)
Indebtedness at the end of the financial year				
i) Principal Amount	23,222.26	1951.49		25173.75
ii) Interest due but not paid	-			-
iii) Interest accrued but not due	278.46			278.46
Total (i+ii+iii)	23500.72	1951.49		25452.21

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and /or Manager:

(Rupees in Lacs)

Sl. No.	Particulars of Remuneration	Name of MD/WTD/manager		
		Neeraj Munjal, MD	Charu Munjal, WTD	Anil Kumar Gupta, WTD ⁷
1.	Gross Salary			
	(a) Salary as per provisions contained in Section 17 (1) of the Income Tax Act 1961	96.00	48.00	6.84
	(b) Value of perquisites u/s 17 (2) Income Tax Act 1961	80.06	32.06	28.79
	(c) Profits in lieu of salary under Section 17 (30 Income tax Act 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission as 2% of profit	-	-	-
	Others, Specify			
5	Others, Please Specify - Provident Fund	11.52	5.76	0.82
	Total (A)	187.58	85.82	36.45

B. Remuneration to other Directors

(Rupees in Lacs)

Sl.No	Particulars of Remuneration	Name of Directors				Total Amount
		Mr. Sunil Kant Munjal	Mr. Bhagwan Dass Narang	Dr. Vinayshil Gautam ⁸	Ms. Jyothi Prasad ⁹	
1.	Independent Directors					
	Fee for attending board committee meetings	3.30	7.50	3.60	1.80	16.20
	Commission	-	-	-	-	-
	Others, Please specify	-	-	-	-	-
	Total (1)					

⁷ Resigned as a Non-Executive Director w.e.f August 08, 2020

⁸ Ceased to be Independent Director w.e.f. September 25, 2020

⁹ Appointed as Non-executive and Independent director w.e.f. November 13, 2020

2.	Other Non-Executive Directors	Dr. Anil Kumar Gupta ¹⁰				
	Total (2)	3.30	-	-	-	3.30
	Total Remuneration					19.50

C. Remuneration to Key Managerial Personnel other than MD / Manager / WTD

(Rupees in Lacs)

Sl. No	Particulars of Remuneration	Key Managerial Personnel			
		Company Secretary - Ms. Shivani Kakkar ¹¹	CFO – Mr. Davendra Ujlayan	Whole-time KMP- Mr. Dinesh Kumar Mishra ¹²	Company Secretary- Ms. Samta Bajaj ¹³
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17 (1) of the Income Tax Act 1961	8.06	55.37	2.44	0.68
	(b) Value of perquisites u/s 17 (2) Income Tax Act 1961	-	-	-	-
	(c) Profits in lieu of salary under Section 17 (30 Income tax Act 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission as % of profit Others, Specify	-	-	-	-
5	Others, Please Specify	-	-	-	-
	Total	8.06	55.37	2.44	0.68

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

There were no penalties, punishment or compounding of offences during the year ended March 31, 2020.

¹⁰ Appointed as a Non-executive Director of the Company w.e.f. August 09, 2020

¹¹ Resigned as Company Secretary w.e.f. January 25, 2020

¹² Appointed as whole-time KMP w.e.f. February 10, 2020

¹³ Appointed as Company Secretary of the Company w.e.f. February 10, 2020