

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - SHIVAM AUTOTECH LIMITED

2. Quarter ending - 31-Mar-2020

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chair person /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	SUNIL KANT MUNJAL	00003902	AIBPM4928J	ID,C & NED		14-Feb-2006	25-Sep-2019		60	14-Dec-1957	No		3	3	3	0	AC,NRC	
Mr.	NEERAJ MUNJAL	00037792	ABMPM8680R	ED	CEO-MD	29-Jul-2005	01-Apr-2016		60	01-Jul-1967	NA		1	0	1	0	SC	
Mrs.	CHARU MUNJAL	03094545	ADVP M3847K	ED		28-May-2013	01-Jun-2018		60	04-Jun-1972	NA		2	0	0	0		
Mr.	BHAGWAN DASS NARANG	00826573	AAEPN3092R	ID		14-Feb-2006	25-Sep-2019		60	12-Apr-1945	Yes	25-Sep-2019	3	3	3	3	AC,SC,RC,NRC	

Mr.	ANIL KUMAR GUPTA	0264 3623	AA DP G89 69M	NED		09- Aug- 2019	09- Aug- 2019		60	02-Apr- 1951	No		2	1	2	0	AC,SC ,RC,N RC
Mrs.	JYOTHI PRASAD	0694 7488	AA EPP 6028 P	ID		13- Nov- 2019	13- Nov- 2019		60	29-Nov- 1963	No		2	2	2	4	AC,SC ,RC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BHAGWAN DASS NARANG	ID	Chairperson	03-Aug-2006	
2	SUNIL KANT MUNJAL	ID,C & NED	Member	03-Aug-2006	
3	ANIL KUMAR GUPTA	NED	Member	09-Aug-2019	
4	JYOTHI PRASAD	ID	Member	13-Nov-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	JYOTHI PRASAD	ID	Chairperson	13-Nov-2019	
2	BHAGWAN DASS NARANG	ID	Member	02-Aug-2006	
3	NEERAJ MUNJAL	ED	Member	02-Aug-2006	
4	ANIL KUMAR GUPTA	NED	Member	09-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	ANIL KUMAR GUPTA	NED	Chairperson	09-Aug-2019	
2	JYOTHI PRASAD	ID	Member	13-Nov-2019	
3	BHAGWAN DASS NARANG	ID	Member	08-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BHAGWAN DASS NARANG	ID	Chairperson	02-Aug-2006	
2	SUNIL KANT MUNJAL	ID,C & NED	Member	02-Aug-2006	
3	ANIL KUMAR GUPTA	NED	Member	09-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
13-Nov-2019	10-Feb-2020	Yes	6	3
28-Dec-2019		Yes	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	43

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	13-Nov-2019		Yes	3	2
Audit Committee		10-Feb-2020	Yes	4	3
Stakeholders Relationship Committee	13-Nov-2019		Yes	3	1
Stakeholders Relationship Committee		10-Feb-2020	Yes	4	2
Risk Management Committee	13-Nov-2019		Yes	2	1
Risk Management Committee		10-Feb-2020	Yes	3	2

Nomination & Remuneration Committee	13-Nov-2019		Yes	3	2
Nomination & Remuneration Committee		10-Feb-2020	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	88

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**

- d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Affirm/Comment

Name : **Samta Bajaj**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
<i>Item</i>	<i>Compliance status</i>	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.shivamautotech.com
Terms and conditions of appointment of independent directors	Yes		www.shivamautotech.com
Composition of various committees of board of directors	Yes		www.shivamautotech.com
Code of conduct of board of directors and senior management personnel	Yes		www.shivamautotech.com
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.shivamautotech.com
Criteria of making payments to non-executive directors	Yes		www.shivamautotech.com
Policy on dealing with related party transactions	Yes		www.shivamautotech.com
Policy for determining 'material' subsidiaries	Not Applicable		
Details of familiarization programs	Yes		www.shivamautotech.com
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor grievances	Yes		www.shivamautotech.com
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances other relevant details	Yes		www.shivamautotech.com
Financial results	Yes		www.shivamautotech.com
Shareholding pattern	Yes		www.shivamautotech.com
Details of agreements entered into with the media companies and/or their associates	Not Applicable		

Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Not Applicable		
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)	Yes		www.shivamautotech.com
Credit rating or revision in credit rating	Yes		www.shivamautotech.com
Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Not Applicable		
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.shivamautotech.com
Materiality Policy as per Regulation 30	Yes		www.shivamautotech.com
Dividend Distribution policy as per Regulation 43A (as applicable)	Not Applicable		
It is certified that these contents on the website of the listed entity are correct.	Yes		www.shivamautotech.com
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	

<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Not Applicable	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Yes	

<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent Directors</i>	25(10)	Not Applicable	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : **Samta Bajaj**
Designation : **Company Secretary & Compliance Officer**