

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity     **- Shivam Autotech Limited**  
 2. Quarter ending             **- 31-Mar-2019**

**i. Composition Of Board Of Director**

Titl e ( M r./ M s)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent / Nominee)	Sub Category	Date of Appointment	Date of cessation	Te nure	No of Directors hip in listed entities including this listed entity	No of membership s in Audit/ Stakeholder Committee(s ) including this listed entity	No of post of Chairpers on in Audit/ Stakehold er Committee held in listed entities including this listed entity	Me mber shi p in Co mmi ttee s of the Co mpa ny	Remarks
Mr.	SUNIL KANT MUNJAL	00003902	AIBPM4928J	ID,C & NED		29-Sep-2014		60	2	1	0	AC,N RC	
Mr.	NEERAJ MUNJAL	00037792	ABMPM8680R	ED	CEO-MD	01-Apr-2016		60	1	1	0	SC	
Mrs.	CHARU MUNJAL	03094545	ADVPM3847K	ED		01-Jun-2018		60	2	0	0		
Mr.	BHAGWAN DAS NARANG	00826573	AAEPN3092R	ID		29-Sep-2014		60	3	4	2	AC,SC ,NRC	
Mr.	SURRINDER LAL KAPUR	00033312	AFVVK6331G	ID		29-Sep-2014	31-Mar-2019	60	2	3	2	AC,SC ,RC,N RC	
Mr.	VINAYSHIL GAUTAM	00037909	AAAPG5459M	ID		29-Sep-2014		60	1	2	0	AC,SC ,RC,N RC	
Mr.	ANIL KUMAR GUPTA	02643623	AADPG8969M	ED		20-Dec-2017		36	1	2	0	AC,SC ,RC	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**ii. Composition of Committees****a. Audit Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	ANIL KUMAR GUPTA	ED	Member	29-Apr-2010	
2	VINAYSHIL GAUTAM	ID	Member	03-Aug-2006	
3	BHAGWAN DAS NARANG	ID	Member	03-Aug-2006	
4	SURRINDER LAL KAPUR	ID	Chairperson	03-Aug-2006	31-Mar-2019
5	SUNIL KANT MUNJAL	ID,C & NED	Member	03-Aug-2006	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	ANIL KUMAR GUPTA	ED	Member	09-Nov-2010	
2	NEERAJ MUNJAL	ED	Member	02-Aug-2006	
3	VINAYSHIL GAUTAM	ID	Member	09-Nov-2010	
4	BHAGWAN DAS NARANG	ID	Chairperson	02-Aug-2006	
5	SURRINDER LAL KAPUR	ID	Member	02-Aug-2006	31-Mar-2019

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	ANIL KUMAR GUPTA	ED	Member	14-Feb-2015	
2	SURRINDER LAL KAPUR	ID	Member	14-Feb-2015	31-Mar-2019
3	VINAYSHIL GAUTAM	ID	Chairperson	14-Feb-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	VINAYSHIL GAUTAM	ID	Chairperson	02-Aug-2006	
2	BHAGWAN DAS NARANG	ID	Member	02-Aug-2006	
3	SURRINDER LAL KAPUR	ID	Member	02-Aug-2006	31-Mar-2019
4	SUNIL KANT MUNJAL	ID,C & NED	Member	02-Aug-2006	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter
05-Nov-2018	06-Feb-2019
	12-Mar-2019

Company Remarks	
Maximum gap between any two consecutive (in number of days)	92

**iv. Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Requirement of Quorum met (details)	Whether requirement of Quorum met (Yes/No)
Audit Committee	05-Nov-2018	06-Feb-2019		Yes
Stakeholders Relationship Committee	05-Nov-2018	06-Feb-2019		Yes
Stakeholders Relationship Committee	17-Dec-2018	06-Feb-2019		Yes
Stakeholders Relationship Committee	17-Dec-2018	19-Feb-2019		Yes
Stakeholders Relationship Committee	17-Dec-2018	08-Mar-2019		Yes
Risk Management Committee	05-Nov-2018	06-Feb-2019		Yes

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	92

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
  - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **SHIVANI KAKKAR**  
**Designation** : **Company Secretary & Compliance Officer**

**ANNEXURE II**

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>			
<b>Item</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Company Remark</b>	<b>Website</b>
Details of business	Yes		www.shivamautotech.com
Terms and conditions of appointment of	Yes		www.shivamautotech.com
Composition of various committees of	Yes		www.shivamautotech.com
Code of conduct of board of directors and	Yes		www.shivamautotech.com
Details of establishment of vigil mechanism/	Yes		www.shivamautotech.com
Criteria of making payments to non-	Yes		www.shivamautotech.com
Policy on dealing with related party	Yes		www.shivamautotech.com
Policy for determining 'material' subsidiaries	Yes		www.shivamautotech.com
Details of familiarization programs imparted	Yes		www.shivamautotech.com
Contact information of the designated officials of the listed	Yes		www.shivamautotech.com
email address for grievance redressal and	Yes		www.shivamautotech.com
Financial results	Yes		www.shivamautotech.com
Shareholding pattern	Yes		www.shivamautotech.com
Details of agreements entered into with the media companies and/or	Not Applicable		
New name and the old name of the listed	Not Applicable		
<b>II Annual Affirmations</b>			
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status</b>	<b>Company Remark</b>
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment &amp; Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	

<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1) & (2)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7) &	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Not Applicable	
<i>Maximum Directorship &amp; Tenure</i>	25(1) & (2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

<b>Other Information</b>	
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### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

<b>Other Information</b>	
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**Name** : **SHIVANI KAKKAR**  
**Designation** : **Company Secretary & Compliance Officer**