

## Shivam Autotech Limited – Shareholding Pattern for quarter ended 30-Sep-2018

1. Name of Listed Entity: Shivam Autotech Limited
2. Scrip Code/Name of Scrip/Class of Security: SHIVAMAUTO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	1	74795950	0	0	74795950	74.80	74795950	0	74795950	74.80	0	74.80	0	0.00			74795950
B	Public	26942	25204050	0	0	25204050	25.20	25204050	0	25204050	25.20	0	25.20	0	0.00			23496540
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	26943	100000000	0	0	100000000	100.00	100000000	0	100000000	100.00	0	100.00	0	0.00			98292490

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1	Indian					0	0.00			0	0.00		0.00		0.00			
a	Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		1	747959500	0	747959500	74.80	747959500	0	747959500	74.80	0	74.80	0	0.00			747959500
			1	747959500	0	747959500	74.80	747959500	0	747959500	74.80	0	74.80	0	0.00			747959500
	DAYANAND MUNJAL INVESTMENTS PVT LTD	AAACD 5751A	1	747959500	0	747959500	74.80	747959500	0	747959500	74.80	0	74.80	0	0.00			747959500
	Sub-Total (A)(1)		1	747959500	0	747959500	74.80	747959500	0	747959500	74.80	0	74.80	0	0.00			747959500
2	Foreign		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	747959500	0	747959500	74.80	747959500	0	747959500	74.80	0	74.80	0	0.00			747959500

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Mutual Funds/		4	44598	0	0	44598	0.04	44598	0	44598	0.04	0	0.00				43598	
b	Venture Capital Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
c	Alternate Investment Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
d	Foreign Venture Capital Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
e	Foreign Portfolio Investors		1	728	0	0	728	0.00	728	0	728	0.00	0	0.00				728	
f	Financial Institutions/ Banks		2	30367	0	0	30367	0.03	30367	0	30367	0.03	0	0.00				27367	
g	Insurance Companies		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
h	Provident Funds/ Pension Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
i	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
	Sub-Total (B)(1)		7	75693	0	0	75693	0.07	75693	0	75693	0.07	0	0.00				71693	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
	Sub-Total (B)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
3	Non-institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00					0	
a	Individuals -		26241	217698170	0	0	21769817	21.77	21769817	0	21769817	21.77	0	0.00	0	0.00	0	0.00	20210307
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		26230	171781890	0	0	17178189	17.18	17178189	0	17178189	17.18	0	0.00					15618679
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		11	4591628	0	0	4591628	4.59	4591628	0	4591628	4.59	0	0.00					4591628
	ANIL KUMAR GOEL	AAJPG2552Q	1	1420000	0	0	1420000	1.42	1420000	0	1420000	1.42	0	0.00					1420000
b	NBFCs registered with RBI		2	400	0	0	400	0.00	400	0	400	0.00	0	0.00					400
c	Employee Trusts		0	0	0	0	0.00	0	0	0	0.00	0	0.00						0

d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)	692	3358140	0	0	3358140	3.36	3358140	0	3358140	3.36	0	3.36	0	0.00			3214140	
	Bodies Corporate	340	2486603	0	0	2486603	2.49	2486603	0	2486603	2.49	0	2.49	0	0.00			2428103	
	Non-Resident Indian (NRI)	349	659957	0	0	659957	0.66	659957	0	659957	0.66	0	0.66	0	0.00			574457	
	Trusts	1	1500	0	0	1500	0.00	1500	0	1500	0.00	0	0.00	0	0.00			1500	
	Societies	1	6000	0	0	6000	0.01	6000	0	6000	0.01	0	0.01	0	0.00			6000	
	IEPF	1	204080	0	0	204080	0.20	204080	0	204080	0.20	0	0.20	0	0.00			204080	
	Sub-Total (B)(3)	26935	25128357	0	0	25128357	25.13	25128357	0	25128357	25.13	0	25.13	0	0.00			23424847	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	26942	25204050	0	0	25204050	25.20	25204050	0	25204050	25.20	0	25.20	0	0.00			23496540	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of shares held in dematerialized form (XIV) (Not Applicable)	
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>			
<b>No. of shareholders</b>	<b>No of share held</b>		
1383	778620	778620	These unclaimed shares have been transferred to unclaimed suspense account of the Company.

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>