Shivam Autotech Limited – Shareholding Pattern for quarter ended 30-Sep-2018

1. Name of Listed Entity: Shivam Autotech Limited

2. Scrip Code/Name of Scrip/Class of Security: SHIVAMAUTO

3. Share Holding Pattern Filed under: 31b

4. Share Holding Pattern as on: 30-Sep-2018

5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid	No
	up shares?	
2	Whether the Listed Entity has issued any Convertible	No
	Securities?	
3		No
	which depository receipts are issued?	
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or	No
	otherwise encumbered?	
6	Whether the Listed Entity has issued any differential	No
	Voting Rights?	
7	Whether the Listed Entity has issued any Warrants?	No

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)					No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Lock sha		ple oth encu	mber of hares dged or erwise imbered (XIII)	Number of equity shares held in dematerializ ed form
				,,			As a % of (A+B+C2)		of Votir Rights Clas s eg:y	Tot al	Total as a % of (A+B+ C)	Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	(XIV)
A	Promoter & Promoter Group	1	74795950	0	0	74795950		74795 950	0	7479 5950	74.80	0	74.80	0	0.00			74795950
В	Public	26942	25204050	0	0	25204050		25204 050	0	2520 4050	25.20	0	25.20	0	0.00			23496540
С	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	26943	100000000	0	0	10000000 0	100.00	10000 0000	0	1000 0000 0	100.00	0	100.00	0	0.00			98292490

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

of the Shareholders (f) in viduals/Hindu vided Family ral Government/s Government(s)	0	shareh older (III)	fully paid up equity share s held (IV)	paid-up equity shares held (V)	shares underlying Depository Receipts (VI)	nos. shares held (VII = IV+V+VI)	ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)		ch class of (I) Voting Rig Class Y	()	Total as a % of Total Voting rights	Shares Underlying Outstanding convertible securities (including Warrants) (X)	g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of		As a % of total Shar es held (b)	pled othe encui	ares ged or erwise mbered KIII) As a % of total share s held (b)	equity shares held in dematerializ ed form (XIV)
in riduals/Hindu vided Family ral Government/	0		up equity share s held	shares held	Depository Receipts	held (VII = IV+V+VI)	d as per SCRR, 1957 As a % of (A+B+C2)	Class	Voting Rig	hts Tot	a % of Total Voting	Outstanding convertible securities (including Warrants)	full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	No.	As a % of total Shar es held	encui () No.	erwise mbered Kili) As a % of total share s held	in dematerializ ed form
in viduals/Hindu vided Family ral Government/	0	(111)	equity share s held	held	Receipts	(VII = IV+V+VI)	SCRR, 1957 As a % of (A+B+C2)	Class	Class	Tot	a % of Total Voting	convertible securities (including Warrants)	conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	No.	As a % of total Shar es held	encui () No.	mbered (III) As a % of total share s held	dematerializ ed form
viduals/Hindu vided Family ral Government/ Government(s)	0		share s held			IV+V+VI)	1957 As a % of (A+B+C2)	Class	Class	Tot	a % of Total Voting	securities (including Warrants)	of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of		% of total Shar es held	No.	As a % of total share s held	ed form
viduals/Hindu vided Family ral Government/ Government(s)	0		s held	(V)	(VI)	,	As a % of (A+B+C2)	Class	Class	Tot	a % of Total Voting	(including Warrants)	convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of		% of total Shar es held	No.	As a % of total share s held	
viduals/Hindu vided Family ral Government/ Government(s)	0						(A+B+C2)	Class	Class	Tot	a % of Total Voting	Warrants)	securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of		% of total Shar es held		% of total share s held	(XIV)
viduals/Hindu vided Family ral Government/ Government(s)	0		0 0				(A+B+C2)				Total Voting		as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	(<i>a)</i>	total Shar es held	(<i>a</i>)	total share s held	
viduals/Hindu vided Family ral Government/ Government(s)	0		0 0								Voting	(4)	percentage of diluted share capital) (XI) = (VII)+(X) as a % of		Shar es held		share s held	
viduals/Hindu vided Family ral Government/ Government(s)	0		0 ((2.11)						of diluted share capital) (XI) = (VII)+(X) as a % of		es held		s held	
viduals/Hindu vided Family ral Government/ Government(s)	0		0 (^	,	ai	·		capital) (XI) = (VII)+(X) as a % of				(b)	
viduals/Hindu vided Family ral Government/ Government(s)	0		0 ((XI) = (VII)+(X) as a % of		(b)			
viduals/Hindu vided Family ral Government/ Government(s)	0		0 (_							(VII)+(X) as a % of					
viduals/Hindu vided Family ral Government/ Government(s)	0		0 (% of					
viduals/Hindu vided Family ral Government/ Government(s)	0		0 (
viduals/Hindu vided Family ral Government/ Government(s)	0		0 (A+B+C2	1		1		
viduals/Hindu vided Family ral Government/ Government(s)	0		0 (1						0_					
vided Family ral Government/ Government(s)	0		0		1		0.00				0.00		0.00		0.00			
ral Government/ Government(s)	0			Ü	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		ļ)
Government(s)	υ		0 (<u> </u>	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
			o (0	U	O	0.00	0	0	0	0.00	O	0.00	O	0.00		()
	0		0 (0	0	0	0.00	0	0		0.00	0	0.00	0	0.00			0
	U		U	U	U	U	0.00	U	U	U I	0.00	U	0.00	U	0.00		1	J
			7.4705050	0	0	7.470.5050	74.00	7.470505	0	7.470.5	74.00	0	74.00	0	0.00			7.470.5050
Other (specify)	1		/4/959500	0	0	/4/95950	/4.80	/4/9595			/4.80	O	/4.80	O	0.00			74795950
	1		7.4705050	0	0	7.4705050	74.00	7470505			74.00	0	74.00	0	0.00			7.470.5050
	1		/4/959500	0	0	/4/95950	/4.80	/4/9595			/4.80	O	/4.80	O	0.00			74795950
ZANIANID.	4 4 4 CD 1		7.4705050	0	0	7.4705050	74.00	7470505			74.00	0	74.00	0	0.00			7.470.5050
			/4/939300	U	U	/4/93930	/4.80	0			/4.80	U	/4.80	U	0.00			74795950
	5/31A							U		930								
	1		74705050	0	0	74705050	74.90	7470505	0	74705	74.90	0	74.90	0	0.00		,	74795950
10tai (A)(1)	1		747939300	U	U	74793930	74.80	0			74.00	U	74.00	٥	0.00			14193930
	0		0 (0	0	0	0.00	0			0.00	0	0.00	0	0.00	+		0
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tutions	n h		0	0	0			0	-									0
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stor	ľ			-	-	-			-	-		_		ľ			ſ	-
Other (specify)	0		0 (0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		-	J
Total (A)(2)	0		0 (0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		-	J
l Shareholding	1		74795950	0	0	74795950	74.80	7479595	0			0	74.80	0	0.00			74795950
omoter and								0										
noter Group																		
(A)(1)+(A)(2)																		
TA E I T ig the ig st C T I cons	ANAND JAL STMENTS LTD Total (A)(1) gn duals (Non- ent Individuals/ gn Individuals) mment utions gn Portfolio for Other (specify) Total (A)(2) Shareholding moter and oter Group	ations/ Banks Other (specify) 1 ANAND JAL STMENTS LTD Total (A)(1) 1 gn 0 duals (Nonent Individuals/gn Individuals) mment otions on Portfolio oor Other (specify) Total (A)(2) Shareholding moter and oter Group	ations/ Banks Other (specify) 1 ANAND AAACD I JAL STMENTS LTD Total (A)(1) I gn 0 duals (Non- ent Individuals/ gn Individuals) mment otions 0 gn Portfolio or Other (specify) Total (A)(2) Shareholding moter and oter Group	ANAND AAACD 74795950 AANAND 74795950 A	ANAND AAACD 1 747959500	ANAND AAACD 1 747959500 0 0	Intions Banks	Dither (specify) 1	Dither (specify) 1	tions/ Banks Other (specify) 1 747959500 0 74795950 74.80 7479595 0 0 ANAND AAACD 1 747959500 0 74795950 74.80 7479595 0 0 ANAND AAACD 1 747959500 0 74795950 74.80 7479595 0 0 ANAND STMENTS LTD Otal (A)(1) 1 747959500 0 74795950 74.80 7479595 0 0 On Otal (A)(1) 1 747959500 0 74795950 74.80 7479595 0 0 On Otal (A)(1) 1 747959500 0 0 74795950 74.80 7479595 0 0 On Otal (A)(1) 1 747959500 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Dither (specify)	Analog	titions/ Banks Other (specify) 1 74795950 0 0 74795950 74.80 7479595 0 74795 74.80 0 1 74795950 0 0 74795950 74.80 7479595 0 74795 74.80 0 ANAND AAACD 1 74795950 0 0 74795950 74.80 7479595 0 74795 74.80 0 JAL 5751A STMENTS LTD otal (A)(1) 1 74795950 0 0 74795950 74.80 7479595 0 74795 74.80 0 pt	titions/ Banks	State Stat	State Stat	State Stat	titions/ Banks

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	e	ach class (of secu (IX)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Number of Locked in shares (XII)		n Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)
				s held (IV)				As a % of (A+B+C2) VIII	No of	Voting R	ights	Total as a % of Total	(including Warrants) (X)	of convertible securities	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
									Class X	Class Y	Tot al	Voting rights		(as a percentage of diluted share capital) (XI)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		4	44598	0	0	44598	0.04	44598	0	44598	0.04	0	0.04	0	0.00			43598
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
С	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		1	728	0	0	728	0.00	728	0	728	0.00	0	0.00	0	0.00			728
f	Financial Institutions/ Banks		2	30367	0	0	30367	0.03	30367	0	30367	0.03	0	0.03	0	0.00			27367
σ	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds			0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		7	75693	0	0	75693	0.07	75693	0	75693		0	0.07		0.00			71693
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
a	Individuals -		26241	21769817	0	0	21769817	21.77	2176981 7	0	21769 817		0	21.77	0	0.00	0	0.00	20210307
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.			17178189		0	17178189	17.18	1717818 9		17178 189	17.18	0	17.18	0	0.00			15618679
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		11	4591628	0	0	4591628	4.59	4591628	0	45916 28	4.59	0	4.59	0	0.00			4591628
	ANIL KUMAR	AAJPG2 552Q	1	1420000	0	0	1420000	1.42	1420000	0	14200 00	1.42	0	1.42	0	0.00			1420000
b	NBFCs registered with RBI		2	400	0	0	400	0.00	400	0	400	0.00	0	0.00	0	0.00			400
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	
e	Any Other (specify)	692	3358140	0	0	3358140	3.36	3358140	0	33581 40	3.36	0	3.36	0	0.00	3214140	
	Bodies Corporate	340	2486603	0	0	2486603	2.49	2486603	0	24866 03	2.49	0	2.49	0	0.00	2428103	
	Non-Resident Indian (NRI)	349	659957	0	0	659957	0.66	659957	0	65995 7	0.66	0	0.66	0	0.00	574457	
	Trusts	1	1500	0	0	1500	0.00	1500	0	1500	0.00	0	0.00	0	0.00	1500	
	Societies	1	6000	0	0	6000	0.01	6000	0	6000	0.01	0	0.01	0	0.00	6000	
	IEPF	1	204080	0	0	204080	0.20	204080	0	20408 0	0.20	0	0.20	0	0.00	204080	
	Sub-Total (B)(3)	26935	25128357	0	0	25128357	25.13	2512835 7	0	25128 357	25.13	0	25.13	0	0.00	23424847	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	26942	25204050	0	0	25204050	25.20	2520405 0	0	25204 050	25.20	0	25.20	0	0.00	23496540	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	r of Votin	g Righ	ts held in	No. of	Total	Numl	per of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class c	of secu	rities	Shares	shareholdin	Lock	ed in	SI	nares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(L	X)		Underlying	g, as a % shares					shares held
	(1)		(III)	ир	shares	Depository	(VII =	d as per					Outstanding	assuming	(X	II)		erwise	in
				equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full				mbered	dematerializ
				share	(V)	(VI)		1957					securities	conversion				XIII)	ed form
				s held				As a % of	No of	oting Rig	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t	total	Applicable)
									Class	Class	Tot	Voting		as a	-	Shar	ap	share	
									X	Y	al	rights		percentage of diluted	-	es held	pli ca	s held (Not	
														share	1	rieiu	ble		
														capital)	-)	applic able)	
														(XI)	1		'	ubic)	
1	Custodian/DR		0	0	0	0	0	0.00	0	0	0	0.00	0	(7	0	0.00			0
1	Holder		ľ					0.00	ľ		ľ	0.00				0.00			
2	Employee Benefit		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
			ľ	U	U	U	U	0.00	U	U	U	0.00	ľ	0.00	U	0.00			U
	Trust (under SEBI																		
	(Share based																		
	Employee Benefit)																		
	Regulations, 2014)																		
	Total Non-Promoter-		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Non Public																		
	Shareholding (C)=																		
								ĺ											
	(C)(1)+(C)(2)												<u> </u>		<u> </u>				

Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,
outstanding shares held in demat/unclaimed s	uspense account, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which re such as number of share suspense ac			
No. of shareholders			
1383	778620	778620	These unclaimed shares
			have been transferred to
			unclaimed suspense
			account of the Company.

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding											
Name of shareholder	Name of PAC	No. of share	Holding %								