

Form No. MGT-9

Extract of Annual Return as on the financial year ended on March 31, 2016

[Pursuant to section 92 (3) of the Companies Act, 2013 and rule 12 (1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i)	CIN:-	L34300DL2005PLC139163
ii)	Registration Date:	29-Jul-2005
iii)	Name of the Company:	Shivam Autotech Limited
iv)	Category/Sub-Category of the Company: Non –Govt. Company	Public Company Limited by Shares/Indian
v)	Address of the Registered Office and Contact Details:	303, 3 rd Floor, Square One, District Centre, Saket, New Delhi – 110017 Tel: 011-49242100 Fax: 011-49242116 Email: admn@shivamautotech.com
vi)	Whether listed Company:	Yes
vii)	Name, Address and contact details of Registrar and Transfer Agents, if any:	MCS Share Transfer Agent Limited F-65, 1 st Floor, Phase-I, Okhla Industrial Area, New Delhi-110 020 Tel: 011-41406149-52 Fax No: 011-41709881 E-mail: admin@mcsregistrars.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

Sl. No	Name and description of main products/ services	NIC Code of the Product/Service	% to total turnover of the Company
1.	Manufacture of bearings, gears, gearing and driving elements.	28140	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	Dayanand Munjal Investments Private Limited. G.T. Road, Hero Nagar, Ludhiana	U67120PB1979PTC004038	Holding	74.8%	2(46)

IV. SHARE HOLDING PATTERN
(Equity Share Capital Break Up as Percentage of Total Equity)

i) Category –wise Share Holding

Category of Shareholders	No of Shares held at the beginning of the year As on April 1, 2017				No of shares held at the end of the year As on March 31, 2018				% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	Total	% of total shares	
A. Promoters									
(1) Indian									
(a) Individual/HUF									
(b) Central Govt									
(c) State Govt (s)									
(d) Bodies Corp.	74795950	0	74795950	74.80%	74795950	0	74795950	74.80%	0.00%
(e) Banks/FI									
(f) Any Other									
Sub Total (A) (1):-	74795950	0	74795950	74.80%	74795950	0	74795950	74.80%	0.00%
(2) Foreign									
(a) NRIs-Individuals									
(b) Other-Individuals									
(c) Bodies Corp.									
(d) Banks/FI									
(e) Any Other									
Sub Total (A) (2):-	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A) = (A) (1) + (A) (2)	74795950	0	74795950	74.80%	74795950	0	74795950	74.80%	0.00%
B. Public Shareholding									
1. Institutions									
(a) Mutual Funds	35033	3500	38533	0.04%	89101	1000	90101	0.09%	0.05
(b) Banks/FI	24673	48910	73583	0.07%	26882	3000	29882	0.03%	(0.04)
(c) Central Govt									
(s)									
(d) State Govt (s)									
(e) Venture Capital Funds									
(f) Insurance Companies									
(g) FII's									
(h) Foreign Venture Capital Funds									
(i) Others (Specify)	0	0	0	0	1000	0	1000	0.00%	0.00%
• Foreign Portfolio Investors									
Sub total (B) (1):-	59706	52410	112116	0.11%	116,983	4,000	120,983	0.12%	0.01%
2. Non Institutions									
a) Bodies Corp.	2078472	76500	2154972	2.15%	2,731,379	59,500	2,790,879	2.79%	0.64%
i) Indian									

ii) Overseas									
b) Individuals									
	13661103	2609190	16270293	16.27%	14,851,662	1,614,910	16,466,572	16.47%	0.20%
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh									
ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakh	6059392	0	6059392	6.06%	4,938,228	0	4,938,228	4.94	(1.12)%
(c) Others (Specify)									
• Cooperative Societies	26000	0	26000	0.03%	6000	0	6000	0.01%	(0.02)%
• Non Resident Individual	467427	112500	579927	0.58%	589158	86500	675,658	0.68%	0.10%
• NBFC's registered with RBI	1350	0	1350	0.00%	0	0	0	0	(0.00)%
• Trust					1250	0	1250	0	0.01%
• IEPF					204080	0	204080	0	0.20%
Sub total (B) (2) :-	22293744	2798190	25091934	25.09%	23,322,157	1,760,910	25,083,067	25.08%	0.01%
Total Public Shareholding (B)= (B) (1) + (B) (2)	22353450	2850600	25204050	25.20%	23,439,140	1,764,910	25204050	25.20%	-
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+ B+C)	97149400	2850600	100000000	100.00%	98,235,090	1,764,910	100000000	100.00%	

(ii) Shareholding of Promoters

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No of Shares	% of total shares of the Company	% shares pledged/encumbered to total shares	No of Shares	% of total shares of the Company	% shares pledged/encumbered to total shares	
I	Dayanand Munjal Investments Private Limited.	74795950	74.80%	0	74795950	74.80%	0	0
	Total	74795950	74.80%	0	74795950	74.80%	0	0

3	IN30021414 425389	DEEPAK SIRUMAL RAJANI	AACHD306 8D	693,150.00	0.69	01-Apr-17					INDIAN PUBLIC
						02-Jun-17					
							(5,000.00)	Sale	688,150.00	0.69	
						23-Jun-17					
							(3,000.00)	Sale	685,150.00	0.69	
						25-Aug-18					
							(100,000.00)	Sale	585,150.00	0.59	
						09-Mar-18					
							(5,000.00)	Sale	580,150.00	0.58	
				580,150.00		31-Mar-18					
					0.58						
4	1203760000 210765	SEEMA GOEL	AHAPG801 5A	600,000.00	0.60	01-Apr-17					INDIAN PUBLIC
						27-Oct-17					
							(100,000.00)	Sale	500,000.00	0.50	
				500,000.00		31-Mar-18					
					0.50						
5	IN30292710 259491	DEEPAK SIRUMAL RAJANI	AACHD306 8D	150,000.00	0.15	01-Apr-17					INDIAN PUBLIC
						25-Aug-17					
							94,000.00	Purchase	244,000.00	0.24	
						20-Oct-17					
							(10,000.00)	Sale	234,000.00	0.23	
				234,000.00		31-Mar-18					
					0.23						
6	IN30014210 033480	LINCOLN P COELHO	AEGPC122 7D	200,000.00	0.20	01-Apr-17					INDIAN PUBLIC
				200,000.00		31-Mar-18	NIL	NIL			
					0.20						
7	IN30210510 207504	ANITA RAWAT	ADJPR8383 D	145,000.00	0.15	01-Apr-17					INDIAN PUBLIC
						21-Apr-17					
							5,000.00	Purchase	150,000.00	0.15	
				150,000.00		31-Mar-18					
					0.15						
8	IN30198310 000168	ARIHANT CAPITAL MKT. LTD	AABCA683 2G	65,732.00	0.07	01-Apr-17					BODY CORPORA TE
						07-Apr-17					
							2,800.00	Purchase	68,532.00	0.07	
						14-Apr-17					
							(2,790.00)	Sale	65,742.00	0.07	
						21-Apr-17					
							2,025.00	Purchase	67,767.00	0.07	
						28-Apr-17					
							16,445.00	Purchase	84,212.00	0.08	
						05-May-17					
							3,380.00	Purchase	87,592.00	0.09	
						12-May-17					
							750.00	Purchase	88,342.00	0.09	
						19-May-17					
							(6,950.00)	Sale	81,392.00	0.08	
						26-May-17					
							3,650.00	Purchase	85,042.00	0.09	
						02-Jun-17					
							(43,460.00)	Sale	41,582.00	0.04	
						09-Jun-17					
							(2,036.00)	Sale	39,546.00	0.04	
						16-Jun-17					
							501.00	Purchase	40,047.00	0.04	
						23-Jun-17					
							10,777.00	Purchase	50,824.00	0.05	
						30-Jun-17					
							31,541.00	Purchase	82,365.00	0.08	
						07-Jul-17					
							(26,550.00)	Sale	55,815.00	0.06	
						14-Jul-17					
							22,124.00	Purchase	77,939.00	0.08	
						21-Jul-17					
							5,494.00	Purchase	83,433.00	0.08	
						28-Jul-17					
							17,119.00	Purchase	100,552.00	0.10	
						04-Aug-17					
							1,682.00	Purchase	102,234.00	0.10	
						11-Aug-17					
							(20,307.00)	Sale	81,927.00	0.08	

10	1201180000 042534	SHEETAL ABHINANDAN LODHA	BDCPS7295 H	55,000.00	0.06	01-Apr-17					INDIAN PUBLIC
						19-May-17		Purchase			
							33,000.00		88,000.00	0.09	
						15-Sep-17		Purchase			
							50,000.00		138,000.00	0.14	
				138,000.00	0.14	31-Mar-18					
11	IN30154931 101495	D SRIMATHI	AJMPS344 7A	257,630.00	0.26	01-Apr-17					INDIAN PUBLIC
						07-Apr-17		Sale			
							(32,548.00)		225,082.00	0.23	
						14-Apr-17		Sale			
							(121,447.00)		103,635.00	0.10	
				103,635.00	0.10	31-Mar-18					
12	IN30047640 058338	EMERGING SECURITIES PVT LTD	AAACE019 5R	201,500.00	0.20	01-Apr-17					BODY CORPORA TE
						16-Jun-17		Purchase			
							25,000.00		226,500.00	0.23	
						13-Oct-17		Sale			
							(225,000.00)		1,500.00	-	
				1,500.00	-	31-Mar-18					

(v) *Shareholding of Directors and Key Managerial Personnel:*

Sl. No.	Name of the Directors and Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No of Shares	% of total shares of the Company	No of Shares	% of total shares of the Company
1.	Mr. Sunil Kant Munjal	Nil					
2.	Mr. Neeraj Munjal	Nil					
3.	Mrs. Charu Munjal	Nil					
4.	Mr. Bhagwan Dass Narang	Nil					
5.	Mr. Surrinder Lal Kapur	Nil					
6.	Dr. Vinayshil Gautam	Nil					
7.	Dr. Anil Kumar Gupta	Nil					
8.	Mr. Davendra Ujlayan	As per below table					
9.	Ms. Shivani Kakkar	Nil					

Name	Shareholding		Date	Reason	Increase / Decrease in Shareholdin g	Cumulative Shareholding during the year	
	No of Shares at the Beginning (01-04-17) /end of the Year (31-03-18)	% of total shares of the Company				Shares	% of total shares of the Company
Mr. Davendra Ujlayan	10	0.00	01/04/2017	-	-	-	0.00%
	10	0.00	31/03/2018	-	-	-	0.00%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rupees in Lacs)

	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	21043.79	4406.04		
ii) Interest due but not paid	149.32			
iii) interest accrued but not due				
Total (i+ii+iii)	21193.11	4406.04		25599.15
Change in Indebtedness during the financial year				
Addition	2000.00	2500.00		
Reduction	(2438.32)	(1111.48)		
Net Change	(438.32)	1388.52		
Indebtedness at the end of the financial year				
i) Principal Amount	20605.47	5794.56		26400.03
ii) Interest due but not paid	103.80			103.80
iii) Interest accrued but not due				
Total (i+ii+iii)	20709.27	5794.56		26503.83

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and /or Manager:

(Rupees in Lacs)

Sl. No.	Particulars of Remuneration	Name of MD/WTD/manager		
		Neeraj Munjal, MD	Charu Munjal, WTD	Anil Kumar Gupta, WTD
1.	Gross Salary			
	(a) Salary as per provisions contained in Section 17 (1) of the Income Tax Act 1961	89.74	44.32	25.93
	(b) Value of perquisites u/s 17 (2) Income Tax Act 1961	79.11	32.46	38.41
	(c) Profits in lieu of salary under Section 17 (30) Income tax Act 1961			
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission as 2% of profit	-	-	-
5	Others, Specify Others, Please Specify - Provident Fund	10.77	5.32	3.15
	Total (A)	179.62	82.10	67.49

B. Remuneration to other Directors

(Rupees in Lacs)

Sl.No	Particulars of Remuneration	Name of Directors				Total Amount
		Mr. Sunil Kant Munjal	Mr. Bhagwan Dass Narang	Mr. Surrinder Lal Kapur	Dr. Vinayshil Gautam	
1.	Independent Directors					
	Fee for attending board committee meetings	2.10	6.00	6.00	6.00	20.10
	Commission	-	-	-	-	-
	Others, Please specify	-	-	-	-	-
	Total (1)					
2.	Other Non-Executive Directors					Nil
	Total (2)	-	-	-	-	-
	Total Managerial Remuneration	2.10	6.00	6.00	6.00	20.10

C. Remuneration to Key Managerial Personnel other than MD / Manager / WTD

(Rupees in Lacs)

Sl. No	Particulars of Remuneration	Key Managerial Personnel	
		Company Secretary - Ms. Shivani Kakkar	CFO – Mr. Davendra Ujlayan
1.	Gross Salary (a) Salary as per provisions contained in Section 17 (1) of the Income Tax Act 1961	9.17	52.11
	(b) Value of perquisites u/s 17 (2) Income Tax Act 1961	-	-
	(c) Profits in lieu of salary under Section 17 (30) Income tax Act 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission as % of profit Others, Specify	-	-
5	Others, Please Specify	-	-
	Total	9.17	52.11

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

There were no penalties, punishment or compounding of offences during the year ended March 31, 2018.